



FR-4915-01-P

## SURFACE TRANSPORTATION BOARD

60-Day Notice of Intent to Seek Extension of Approval of Collection: Statutory

Licensing Authority

AGENCY: Surface Transportation Board.

ACTION: Notice and Request for Comments.

SUMMARY: As required by the Paperwork Reduction Act of 1995 (PRA), the Surface Transportation Board (STB or Board) gives notice of its intent to seek approval from the Office of Management and Budget (OMB) for an extension of approval for the information collection required from those seeking statutory licensing authority, as described below.

DATES: Comments on this information collection should be submitted by June 16, 2020.

ADDRESSES: Direct all comments to Chris Oehrle, Surface Transportation Board, 395 E Street, S.W., Washington, DC 20423-0001, or to [PRA@stb.gov](mailto:PRA@stb.gov). When submitting comments, please refer to “Paperwork Reduction Act Comments, Statutory Licensing Authority.” For further information regarding this collection, contact Michael Higgins, Deputy Director, Office of Public Assistance, Governmental Affairs, and Compliance, at (202) 245-0284 or at [Michael.Higgins@stb.gov](mailto:Michael.Higgins@stb.gov). Assistance for the hearing impaired is available through the Federal Relay Service at (800) 877-8339.

SUPPLEMENTARY INFORMATION: Comments are requested concerning: (1) the accuracy of the Board’s burden estimates; (2) ways to enhance the quality, utility, and clarity of the information collected; (3) ways to minimize the burden of the collection of

information on the respondents, including the use of automated collection techniques or other forms of information technology, when appropriate; and (4) whether the collection of information is necessary for the proper performance of the functions of the Board, including whether the collection has practical utility. Submitted comments will be summarized and included in the Board's request for OMB approval.

**Description of Collection**

*Title:* Statutory Licensing Authority

*OMB Control Number:* 2140-0023

*STB Form Number:* None

*Type of Review:* Extension without change

*Respondents:* Rail carriers and non-carriers seeking statutory licensing or consolidation authority, an exemption from filing an application for such authority, or interchange commitments

*Number of Respondents:* 80<sup>1</sup>

*Estimated Time Per Response:*

**Estimated Hours per Response**

| Type of filing          | Number of hours per response under 49 U.S.C. 10901-03 and 11323-26 |
|-------------------------|--|
| Applications            | 524  |
| Petitions*              | 58   |
| Notices*                | 19   |
| Interchange commitments | 8  |

---

<sup>1</sup> Approximately 40% of the filings are additional filings submitted by railroads that had already submitted filings during the time period.

*Frequency:* On occasion

Average Annual Number of Responses for FY 2017-2019

| Type of filing          | Average number of filings per year under 49 U.S.C. 10901-03 and 11323-26 |
|-------------------------|--|
| Applications            | 3  |
| Petitions*              | 12   |
| Notices*                | 103  |
| Interchange commitments | 4  |

*Total Burden Hours* (annually including all respondents): 4,257 (sum of estimated hours per response x number of responses for each type of filing)

Total Annual Burden Hours

| Type of filing            | Hours per response | Annual number of filings | Total annual burden hours |
|---------------------------|--------------------|--------------------------|---------------------------|
| Applications              | 524                | 3                        | 1,572                     |
| Petitions*                | 58                 | 12                       | 696                       |
| Notices*                  | 19                 | 103                      | 1,957                     |
| Interchange commitments   | 8                  | 4                        | 32                        |
| Total annual burden hours |                    |                          | 4,257                     |

\* Under section 10502, petitions for exemption and notices of exemption are permitted in lieu of an application.

*Total “Non-hour Burden” Cost:* None identified. Filings are submitted electronically to the Board.

*Needs and Uses:* As mandated by Congress, persons seeking to construct, acquire or operate a line of railroad and railroads seeking to abandon or to discontinue operations over a line of railroad or, in the case of two or more railroads, to consolidate their interests through merger or a common-control arrangement are required to file an application for prior approval and authority with the Board. *See* 49 U.S.C. 10901–03, 11323–26. Under 49 U.S.C. 10502, persons may seek an exemption from many of the

application requirements of sections 10901–03 and 11323–26 by filing with the Board a petition for exemption or notice of exemption in lieu of an application. The collection by the Board of these applications, petitions, and notices (including collection of disclosures of rail interchange commitments under 49 CFR 1121.3(d), 1150.33(h), 1150.43(h), and 1180.4(g)(4)) enables the Board to meet its statutory duty to regulate the referenced rail transactions. In some cases, the actions for which authority is sought may create agreements with interchange commitments. If the interchange commitments limit the future interchange of traffic with third parties, then certain information must be disclosed to the Board about those commitments. 49 CFR 1121.3(d), 1150.33(h), 1150.43(h), 1180.4(g)(4). The collection of this information facilitates the case-specific review of interchange commitments and enables the Board's monitoring of their usage generally.

Under the PRA, a federal agency that conducts or sponsors a collection of information must display a currently valid OMB control number. A collection of information, which is defined in 44 U.S.C. 3502(3) and 5 CFR 1320.3(c), includes agency requirements that persons submit reports, keep records, or provide information to the agency, third parties, or the public. Under 44 U.S.C. 3506(c)(2)(A), federal agencies are required to provide, prior to an agency's submitting a collection to OMB for approval, a 60-day notice and comment period through publication in the Federal Register concerning each proposed collection of information, including each proposed extension of an existing collection of information.

Dated: April 14, 2020.

Jeffrey Herzig,

*Clearance Clerk.*

